



# Forcing matching numbers of fullerene graphs<sup>☆</sup>

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## ABSTRACT

The forcing number or the degree of freedom of a perfect matching  $M$  of a graph  $G$  is the cardinality of the smallest subset of  $M$  that is contained in no other perfect matchings of  $G$ . In this paper we show that the forcing numbers of perfect matchings in a fullerene graph are not less than 3 by applying the 2-extendability and cyclic edge-connectivity 5 of fullerene graphs obtained recently, and Kotzig's classical result about unique perfect matching as well. This lower bound can be achieved by infinitely many fullerene graphs.

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## 1. Introduction

A *fullerene graph* is a 3-regular (cubic) connected plane graph (or spherical map) with only pentagonal faces and hexagonal faces. By Euler's formula, a simple argument shows that a fullerene graph must have only 12 pentagonal faces. As a class of trivalent polyhedra, fullerene graphs have been studied in mathematics for a long time [9,10]; for example, the dodecahedron is the fullerene graph with 20 vertices. The structures of fullerene graphs are particularly interested to mathematicians [4,11]. From chemical point of view, fullerene graphs are the molecular graphs of fullerenes; for example, the famous molecule  $C_{60}$  is one of fullerenes, discovered by Kroto et al. [16] in 1985.

A set of independent edges of a fullerene graph  $F$  is called a *matching* of  $F$ . A *perfect matching* (or Kekulé structure)  $M$  of  $F$  is a matching such that every vertex is incident with exactly one edge in  $M$ . A *forcing set* for a perfect matching  $M$  of a fullerene graph  $F$  is a subset  $S$  of  $M$  such that  $S$  is contained in the unique perfect matching of  $F$ . The minimum cardinality of forcing sets of  $M$  is called the *forcing number* (or *degree of freedom*) of  $M$ , and is denoted by  $f(F; M)$ . Kekulé structures play a key role in the molecule resonance energy and aromaticity of organic molecules. For a recent survey, refer to [22]. For a fullerene, Kekulé structures do not contribute to its molecule resonance energy equally [7]. Recent works [23,25–27] show that the Kekulé structures of fullerenes with a large degree of freedom are more important than those of fullerenes with a smaller degree of freedom in resonance theory. The *spectrum* of forcing numbers of perfect matchings of  $F$  is defined as  $\text{Spec}(F) := \{f(F; M) \mid M \text{ is a perfect matching of } F\}$ . The *forcing number* of a fullerene graph  $F$  is the minimum number of forcing numbers of all perfect matchings of  $F$ , denoted by  $f(F)$ .

The concept of forcing number of graphs was originally introduced for benzenoid systems by Harary et al. [12]. The same idea appeared in an earlier paper [13] of Klein and Randić by the name “innate degree of freedom”. The benzenoid systems

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with minimum forcing number 1 had been investigated in [19,29–31], as well as plane bipartite graphs [33]. Recently, the minimum forcing numbers of bipartite graphs [1,2] have been extensively studied, especially for the stop signs [18], square grid [20], torus and hypercube [1,14,24]. To determine whether a set is a minimum forcing set of a perfect matching of a bipartite graph with maximum degree 3 is NP-complete [1]. Wang et al. [28] gave a linear-time algorithm for computing the minimum forcing number of a toroidal polyhex according to its geometric structure.

It is interesting to consider the minimum forcing numbers of general fullerene graphs which are non-bipartite. As we know, little work has been done on the minimum forcing numbers of non-bipartite graphs. Vukičević, Kroto and Randić [26, 27] computed the forcing numbers of perfect matchings of  $C_{60}$  and their result implies that  $\text{Spec}(C_{60}) = \{5, 6, 7, 8, 9, 10\}$  and hence  $f(C_{60}) = 5$ . In this paper, we consider the minimum forcing numbers of general fullerene graphs  $F$ . Applying the 2-extendability and cyclic edge-connectivity 5 of fullerene graphs, which were obtained recently, and also combining Kotzig's classical result about graphs with a unique perfect matching, we obtain the following main result:

**Theorem 1.1.** *Let  $F$  be a fullerene graph. Then  $f(F) \geq 3$ .*

This bound is achieved by infinitely many fullerene graphs, including all fullerene graphs with a non-trivial cyclic 5-edge-cut.

All undefined concepts and notations in this paper can be found in [3].

## 2. Proof of the main result

A connected graph  $G$  with at least six vertices is said to be 2-extendable if any two disjoint edges of  $G$  belong to a perfect matching.

**Theorem 2.1** ([32]). *Every fullerene graph is 2-extendable.*

A graph  $G$  is said to be cyclically  $k$ -edge-connected if at least  $k$  edges must be removed to disconnect  $G$  into two components, each containing a cycle. Such a set of  $k$  edges is called a cyclic  $k$ -edge-cut and it is trivial if at least one of the two components is a single cycle of length  $k$ . The cyclic edge-connectivity of  $G$ , denoted by  $c\lambda(G)$ , is the maximum integer  $k$  such that  $G$  is cyclically  $k$ -edge-connected.

**Theorem 2.2** ([6,21]). *Let  $F$  be a fullerene graph. Then  $c\lambda(F) = 5$ .*

By Theorem 2.2 and the 3-connectivity of  $F$ , a cycle of  $F$  has length 5 or 6 if and only if it bounds a face of  $F$ . A pentacap is a graph consisting of 6 pentagons, as shown in Fig. 10 (left).

**Theorem 2.3** ([17]). *Let  $F$  be a fullerene admitting a nontrivial cyclic 5-edge-cut. Then  $F$  contains a pentacap, and more precisely,  $F$  contains two disjoint antipodal pentacaps.*

Let  $F$  be a fullerene graph admitting a nontrivial cyclic 5-edge-cut  $S$ . In fact, in the proof of Theorem 2.3, Kutnar and Marušič [17] showed that removing edges in  $S$  separates  $F$  into two 2-connected subgraphs, each of which has a face of size 10 on which the 2-degree vertices and 3-degree vertices appear alternately along some direction, and the edges in  $S$  are not incident with any pentagon of  $F$ . We summarize the above properties in the following proposition:

**Proposition 2.4** ([17]). *Let  $F$  be a fullerene graph admitting a non-trivial cyclic 5-edge-cut  $S$ . Then,*

- (1)  $S$  does not consist of the edges incident with a pentagon of  $F$ ;
- (2) every component of  $F - S$  has a face of size 10 on which the 2-degree vertices and 3-degree vertices appear alternately along some direction.

A bridge of a graph is an edge whose deletion increases the number of components.

**Theorem 2.5** ([15]). *Let  $G$  be a connected graph with a unique perfect matching. Then  $G$  has a bridge belonging to the perfect matching.*

Suppose that  $G$  is a subgraph of  $F$ . We use  $F - G$  to denote the graph  $F - V(G)$ . A connected subgraph  $G$  of  $F$  is unforcing if  $F - G$  has no vertices of degree 1 and every inner face of  $G$  is also a face of  $F$ . Define  $G^*$  to be the graph arising from an unforcing subgraph  $G$  of  $F$  as follows. Substitute each vertex of  $G$  by a triangle such that two triangles of  $G^*$  share an edge if and only if the corresponding vertices in  $G$  are adjacent. Since every inner face of  $G$  is also a face of  $F$ , every inner face of  $G^*$  is a triangle. In fact,  $G$  is an inner dual of  $G^*$ . Note that  $G^*$  is not always a subgraph of the dual graph of  $F$  since  $G^*$  may have some vertices on its boundary corresponding to the same face of  $F$ . Denote the diameter of  $G^*$  by  $\text{diam}(G^*)$ . For example, the subgraph  $G$  induced by thick edges and  $G^*$  are shown in Fig. 1. Every edge  $e'$  of  $G^*$  crosses an edge  $e$  in  $F$ , called the corresponding edge of  $e'$ .

**Lemma 2.6.** *Let  $F$  be a fullerene graph. Let  $G$  be an unforcing subgraph of  $F$  and  $G^*$  be defined as above. We have the following statements.*

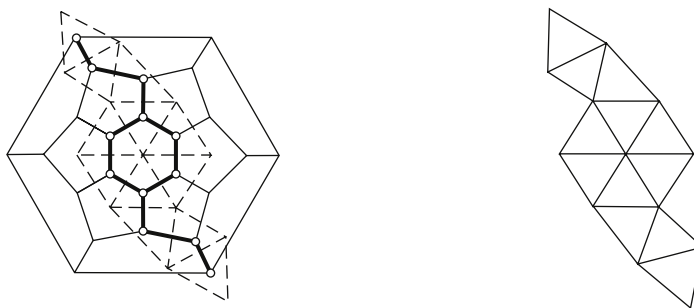


Fig. 1. A subgraph  $G$  induced by thick edges (left) and  $G^*$  (right).

- (1) If  $\text{diam}(G^*) \leq 3$ ,  $F - G$  is 2-connected;
- (2) If  $\text{diam}(G^*) = 4$ , either  $F - G$  is 2-connected or  $F - G$  is connected and has a bridge  $e$ . When the latter holds, let  $e$  be the common edge of faces  $f_0$  and  $f_1$  of  $F$ . Then  $G^*$  has two vertices  $f_0^*$  and  $f_1^*$  corresponding to  $f_0$  and  $f_1$  such that a shortest path  $P$  of  $G^*$  connecting  $f_0^*$  and  $f_1^*$  has length 4 and, together with  $e$ , the edges corresponding to edges in  $P$  form a cyclic 5-edge-cut of  $F$ .

**Proof.** First, we show that  $F - G$  is connected if  $\text{diam}(G^*) \leq 4$ . If not, then  $G$  separates  $F - G$ . Since  $F - G$  has no vertices of degree 1, each component of  $F - G$  has a cycle. Since every inner face of  $G$  is also a face of  $F$ , two components of  $F - G$  lie in the infinite face of  $G$ . Let  $S \subset E(G)$  be a minimum edge-cut separating  $F - G$ , which of course is a cyclic edge-cut. By the definition of  $G^*$  and the minimality of  $S$ , the edges in  $G^*$  corresponding to edges in  $S$  form a shortest path  $P$ . So  $|S| \leq \text{diam}(G^*) \leq 4$ , contradicting that  $c\lambda(F) = 5$ .

Since both  $F - G$  and  $G$  are connected,  $F - G$  has only one face  $f$  other than pentagons and hexagons, and its boundary  $\partial f$  is a closed walk. Assume that  $\partial f = v_0 e_0 v_1 e_1 v_2 e_2 \cdots v_m e_m v_0$ . If  $\partial f$  is a cycle, then  $F - G$  is clearly 2-connected.

So, in the following, suppose that  $\partial f$  is not a cycle. Then there are  $0 \leq i \neq j \leq m$  such that  $e_i = e_j$ . So  $e_i$  is a bridge of  $F - G$ . Now assume that  $e_i = f_0 \cap f_1$ . By the 3-connectivity of  $F$ ,  $f_0 \neq f_1$ . Let  $f_0^*$  and  $f_1^*$  be the vertices of  $G^*$  corresponding to  $f_0$  and  $f_1$ , respectively. Let  $P := f_1^* f_2^* \cdots f_r^* f_0^*$  be a shortest path of  $G^*$  connecting  $f_1^*$  and  $f_0^*$ . Since  $P$  is shortest,  $f_i \cap f_{i+2} = \emptyset$  ( $i, i+2 \in \mathbb{Z}_{r+1}$ ). So  $S' = \{f_i \cap f_{i+1} \mid i \in \mathbb{Z}_{r+1}\}$  is a cyclic edge-cut. By Theorem 2.2,  $|S'| \geq 5$  and hence  $r \geq 4$ .

If  $\text{diam}(G^*) \leq 3$ , then  $r \leq 3$ , contradicting that  $r \geq 4$ . The contradiction implies that  $\partial f$  is a cycle. So  $F - G$  is 2-connected.

Now suppose that  $\text{diam}(G^*) = 4$ . Then  $r = 4$  and  $|S'| = 5$ . Hence  $S'$  is a cyclic 5-edge-cut of  $F$  such that  $e_i \in S'$  and  $P$  is a path of length 4 in  $G^*$ .  $\square$

Let  $F$  be a fullerene graph and  $F_1$  and  $F_2$  be two subgraphs of  $F$ . Denote the set of edges connecting vertices of  $F_1$  with vertices of  $F_2$  by  $E(F_1, F_2)$ . We use  $E(e, F_2)$  instead of  $E(F_1, F_2)$  if  $F_1$  is an edge  $e$  of  $F$ . Let  $S$  be a set of edges in  $F$ . Use  $F \ominus S$  to denote the subgraph arising from deleting all vertices incident with edges in  $S$  together with their incident edges. We say that  $S$  forces an edge  $e$  of  $F$  if one end of  $e$  is of degree 1 in  $F \ominus S$ .

**Theorem 2.7.** Let  $F$  be a fullerene graph. Then, for every perfect matching  $M$  of  $F$ ,  $f(F; M) \geq 3$ .

**Proof.** Since any two independent edges of a fullerene are contained in a perfect matching by Theorem 2.1, it suffices to show that any pair of independent edges  $e_1$  and  $e_2$  of a fullerene graph  $F$  cannot determine uniquely a perfect matching; that is,  $F \ominus \{e_1, e_2\}$  has at least two perfect matchings. Let  $F' := F \ominus \{e_1, e_2\}$  and  $e_1 = v_1 v_2$ ,  $e_2 = u_1 u_2$ .

**Claim 1.** If  $F'$  has no vertices of degree 1, then  $F'$  is 2-connected.

**Claim 2.** If  $F'$  has a 1-degree vertex, then by repeatedly deleting the resulting 1-degree vertices and their neighbors (in any order) we arrive at a 2-connected subgraph  $F''$ .

By Theorem 2.5, a 2-connected graph with a perfect matching has at least two distinct perfect matchings. Hence, by Claims 1 and 2,  $F'$  has at least two distinct perfect matchings. The proof is complete.  $\square$

Now we are going to prove the Claims.

**Proof of Claim 1.** First we prove that  $F'$  is connected. If not, then  $F'$  has two components  $F'_1$  and  $F'_2$ . Note that, since  $F'$  has no vertices of degree 1,  $F'_i$  contains a cycle,  $i = 1, 2$ . We may assume that  $|E(e_1, F'_1)| \leq |E(e_1, F'_2)|$ . Since  $|E(e_1, F'_1)| + |E(e_1, F'_2)| = 4$  ( $i = 1, 2$ ),  $|E(e_1, F'_1)| \leq 2$ . Note that, since  $\delta(F') \geq 2$ , any edge-cut separating  $F'_1$  and  $F'_2$  must be cyclic. Furthermore, at least one of  $E(e_1, F'_1) \cup E(e_2, F'_2)$  and  $E(e_1, F'_1) \cup E(e_2, F'_1)$  is a cyclic edge-cut of size no more than 4. This contradicts  $c\lambda(F) = 5$ . So  $F'$  is connected.

Now we are going to prove that  $F'$  is 2-connected. Suppose not; since  $F'$  is connected,  $F'$  has a bridge  $e = w_1 w_2$ . Removing  $e$  from  $F'$  separates  $F'$  into two components  $F'_1$  and  $F'_2$  such that  $w_i \in F'_i$ . Clearly, both  $F'_1$  and  $F'_2$  have cycles since  $\delta(F') \geq 2$ .

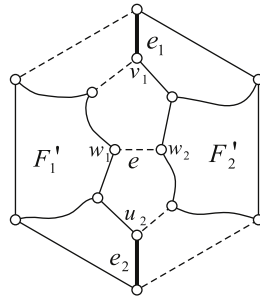


Fig. 2. Illustration for the proof of Claim 1.

Let  $f_1$  and  $f_2$  be two faces of  $F$  such that  $e = f_1 \cap f_2$ . If one face of  $f_1$  and  $f_2$ , say  $f_1$ , contains no end of  $e_1$  and  $e_2$ , then  $e$  cannot be a bridge of  $F'$  since  $e$  lies on the cycle bounding  $f_1$ . If one edge of  $e_1$  and  $e_2$ , say  $e_1$ , has an end on each  $f_i$ , then let  $e'$  and  $e''$  be the two edges of  $f_1$  and  $f_2$ , respectively, such that they are incident with one end of  $e_1$ . Then  $\{e, e', e''\}$  forms a cyclic 3-edge-cut. This contradicts  $c\lambda(F) = 5$ . Thus we may assume that  $f_1 \cap e_1 \neq \emptyset$  and  $f_2 \cap e_2 \neq \emptyset$ .

If  $e_2 \subset f_2$ , then  $S := E(e_1, F'_1) \cup \{e, e_2\}$  is an edge-cut of size at most 4 such that  $F - S$  has two components containing  $F'_1$  and  $F'_2$ , respectively. So  $S$  is a cyclic edge-cut, a contradiction to  $c\lambda(F) = 5$ .

Thus we may assume that  $e_1 \not\subset E(f_1)$ ,  $e_2 \not\subset E(f_2)$ ,  $v_1 \in f_1$  and  $u_2 \in f_2$ . Since both  $E(e_1, F'_1) \cup E(e_2, F'_1) \cup \{e\}$  and  $E(e_1, F'_1) \cup E(e_2, F'_2) \cup \{e\}$  are cyclic edge-cuts of  $F$ ,  $|E(e_1, F'_1) \cup E(e_2, F'_1)| + 1 \geq c\lambda(F) = 5$  and  $|E(e_1, F'_1)| + |E(e_2, F'_2)| + 1 \geq c\lambda(F) = 5$ . Since  $|E(e_2, F'_1)| + |E(e_2, F'_2)| = 4$  and  $|E(e_1, F'_1)| \leq 2$ , we have  $|E(e_2, F'_1)| = |E(e_2, F'_2)| = 2$ . Hence  $|E(e_1, F'_1)| + |E(e_2, F'_2)| = 4$ . Therefore,  $S := E(e_1, F'_1) \cup E(e_2, F'_2) \cup \{e\}$  is a cyclic 5-edge-cut (the broken edges shown in Fig. 2).

We are going to prove that  $S$  is non-trivial. Note that, if two edges of  $E(e_2, F'_1)$  are incident with an end vertex of  $e_2$ , then  $e_2 \in E(f_2)$ . This is a direct contradiction to  $e_2 \not\subset E(f_2)$ . Similarly for the edges of  $E(e_1, F'_1)$ .

Since  $F'_1$  is connected and does not contain vertex of degree 1,  $F'_1$  contains a cycle  $C$  and the edges in  $E(e_2, F'_1)$  are disjoint. Let  $xu_2$  and  $yu_1$  be the edges in  $E(e_2, F'_1)$ . Let  $P$  be a path in  $F'_1$  connecting  $x$  and  $y$ . Then  $C_1 = Pu_1u_2x$  is a cycle in  $F'_1 \cup \{e_2\} \cup E(e_2, F'_1)$  which is a component of  $F - S$ . Clearly  $C$  and  $C_1$  are distinct. Hence  $F'_1 \cup \{e_2\} \cup E(e_2, F'_1)$  contains two cycles. We have a similar result for  $F'_2 \cup \{e_1\} \cup E(e_1, F'_2)$ . Thus,  $S$  is non-trivial.

Let  $G$  be the component of  $F - S$  containing  $F'_2$ . Then  $G$  contains exactly five vertices of degree 2. But there are two consecutive vertices of degree 2, which are the ends of  $e_1$ . This contradicts that  $S$  is a non-trivial cyclic 5-edge-cut by Proposition 2.4. The contradiction implies that  $F'$  is 2-connected and hence completes the proof of Claim 1.  $\square$

Before proving Claim 2 we have the following properties.

Let  $G$  be a subgraph of a fullerene graph  $F$ . Let  $d_G(v)$  denote the degree of a vertex of  $G$ . A vertex  $v$  of  $G$  is *unsaturated* if  $d_G(v) \leq 2$ .

Let  $G$  be a connected subgraph of a fullerene graph  $F$  with a cycle such that every inner face of  $G$  is also a face of  $F$ . Suppose that  $G$  contains at least one unsaturated vertex and any two unsaturated vertices which belong to a common face of  $F$  have no common neighbors in  $F - G$ . (\*)

Note that every unsaturated vertex of  $G$  lies on its boundary  $\partial G$  since every inner face of  $G$  is also a face of  $F$ . Each face of  $F$  is bounded by a cycle.

A path connecting two unsaturated vertices  $w$  and  $v$  such that every intermediate vertex is of degree 3 is called a *saturated path*. For convenience, a face is often represented by its boundary. Note that, for a saturated path  $P$  on  $\partial G$ , there exists a face  $f$  such that  $P \subset f \cap G$ .

**Lemma 2.8.** Let  $G$  be a connected subgraph of  $F$  satisfying the condition (\*) and not isomorphic to a pentagon. Let  $u_0, u_1, u_2$  and  $u_3$  be four unsaturated vertices of  $G$  such that  $u_i$  and  $u_{i+1}$  ( $i = 0, 1, 2$ ) are connected by a saturated path  $P_i$  on the boundary of  $G$ . Then  $u_i$  and  $u_j$  ( $i \neq j$ ) have no common neighbors in  $F - G$ .

**Proof.** We may assume that  $i < j$ .

Case 1:  $j - i = 1$ . Then  $u_i$  and  $u_{i+1}$  are connected by a saturated path  $P_i$ . Furthermore,  $u_i$  and  $u_{i+1}$  belong to a face  $f_i$  of  $F$  such that  $P_i \subset f_i \cap G$ . So  $u_i$  and  $u_j$  have no common neighbors in  $F - G$  by the assumption that any two unsaturated vertices belonging to a common face of  $F$  have no common neighbors in  $F - G$ .

Case 2:  $j - i = 2$ . Without loss of generality, we may assume that  $i = 0$  and  $j = 2$ . Suppose that  $d_G(u_1) = 1$ . Since  $F$  is a cubic graph,  $P_0 \cap P_1$  must be an edge containing  $u_1$ . Let this edge be  $e = u_1u'_1$ . Suppose that  $d_G(u_1) = 2$ . Then there is an edge  $e = u_1u'_1$ , where  $u'_1 \in V(F) \setminus V(G)$ . Let  $f_0$  be the face in  $F$  containing  $P_0$  and  $e$  and let  $f_1$  be the face in  $F$  containing  $P_1$  and  $e$ . Suppose to the contrary that  $u_0$  and  $u_2$  have a common neighbor  $w$  in  $F - G$ . By the assumption,  $f_0 \neq f_1$ . Note that, since  $F$  is a cubic graph,  $f_0 \cap f_1 = e$ . For convenience, we let  $\alpha(e) = u_1$  if  $d_G(u_1) = 1$  and  $\alpha(e) = u'_1$  if  $d_G(u_1) = 2$ .

Suppose that  $wu_0 \in E(f_0)$ . If  $wu_2 \in E(f_1)$ , then  $w$  is connected to  $u_1$  by a path  $P$  which contains neither  $u_0$  nor  $u_2$  because  $f_0 \neq f_1$ . Since  $u_0$  and  $u_1$  have no common neighbors in  $F - G$ ,  $P$  is not an edge. Hence,  $\{w, u_1\}$  is a 2-vertex-cut of  $F$ . This

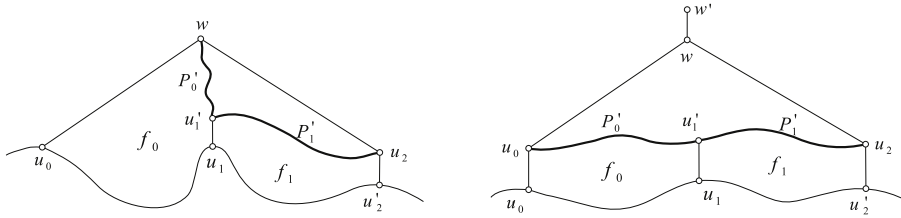


Fig. 3. Illustration for Case 2.

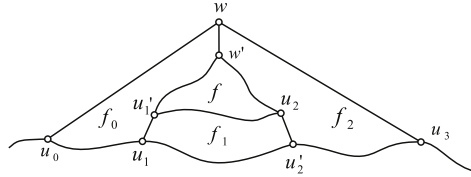


Fig. 4. Illustration for Case 3.

contradicts the 3-connectivity of  $F$ . Hence  $wu_2 \notin E(f_1)$ . Hence  $d_G(u_2) = 1$  since  $f_1 - P_1$  contains a neighbor of  $u_2$ . Let  $u'_2u_2 \in E(G)$ . Let  $P'_0 \subset f_0$  be the path connecting  $w$  and  $\alpha(e)$  such that  $u_0 \notin P'_0$ , and let  $P'_1 \subset f_1$  be the path connecting  $\alpha(e)$  and  $u_2$  such that  $P'_1$  does not contain any edge of  $G$ . Then  $C := P'_0 \cup P'_1 \cup \{u_2w\}$  is a cycle. So  $S = \{u_0w, e, u_2u'_2\}$  is a cyclic 3-edge-cut since it separates  $F$  into two components: one contains  $C$  and the other contains a cycle of  $G$  (see Fig. 3 (left)). This contradicts  $c\lambda(F) = 5$ .

Suppose that  $wu_0 \notin E(f_0)$  and  $wu_2 \notin E(f_1)$ . Then  $d_G(u_0) = d_G(u_1) = 1$ . Let  $u'_0u_0 \in E(G)$ . Let  $P'_0 \subset f_0$  be the path connecting  $u_0$  and  $\alpha(e)$  and let  $P'_1 \subset f_1$  be the path connecting  $\alpha(e)$  and  $u_2$  such that both of them do not contain edges of  $G$ . Then  $C := P'_0 \cup P'_1 \cup \{u_0w, u_2u'_2\}$  is a cycle. Let  $w'$  be the neighbor of  $w$  different from  $u_0$  and  $u_1$ . Then  $\{u_0u'_0, e, u_2u'_2, ww'\}$  contains a cyclic edge-cut which separates  $F$  into two components: one contains  $C$  and the other contains a cycle of  $G$ . This contradicts  $c\lambda(F) = 5$ . Hence we have that  $u_0$  and  $u_2$  have no common neighbors in  $F - G$ .

Case 3:  $j - i = 3$ . Similarly to Case 2, we may choose faces  $f_0$  and  $f_1$  such that  $P_j \subset f_j \cap G$  for  $j = 0, 1$  and  $f_0 \cap f_1 = e_1 = u_1u'_1$ . If  $d_G(u_2) = 2$ , then there is an edge  $e_2 = u_2u'_2$  such that  $u'_2 \in V(F) \setminus V(G)$ . Let  $f_2$  be the face containing  $\{e_2\} \cup P_2$ . If  $e_2 \notin E(f_1)$ , then by a similar proof to that of Case 2 we will obtain that  $u_0$  and  $u_2$  have no common neighbors in  $F - G$ . So we assume that  $e_2 \in E(f_1)$ . Hence  $f_1 \cap f_2 = e_2$ .

If  $d_G(u_2) = 1$ , then similarly to Case 2 we have that  $P_1 \cap P_2$  is an edge  $e_2 = u_2u'_2$  for some  $u'_2$ . Let  $f_2$  be the face containing  $P_2$ . Then  $f_1 \cap f_2 = e_2$ .

Combining the above cases, we have three distinct faces  $f_0, f_1$  and  $f_2$  such that  $P_j \subset f_j \cap G$  and  $e_i = f_{i-1} \cap f_i$  for  $i = 1, 2$ . Denote that  $\alpha(e_i) = u_i$  if  $d_G(u_i) = 1$  and  $\alpha(e_i) = u'_i$  if  $d_G(u_i) = 2$ . Let  $P'_1 \subset f_1$  be a path connecting  $\alpha(e_1)$  to  $\alpha(e_2)$  such that  $P'_1$  contains no edges of  $G$ .

Now suppose to the contrary that  $w$  is the neighbor of  $u_0$  and  $u_3$  in  $F - G$ . Suppose that  $wu_0 \in E(f_0)$ . If  $wu_3 \in E(f_2)$ , then  $f_0 \cap f_2 \neq \emptyset$ . Since  $F$  is cubic,  $f_0 \cap f_2$  is an edge which is  $ww'$  for some  $w'$  (see Fig. 4). If  $w' \in f_1$ , then  $w'u_i = f_{i-1} \cap f_i$  for  $i = 1, 2$ . Furthermore,  $w'$  is a common neighbor of  $u_1$  and  $u_2$ . This contradicts the assumption of  $G$  that  $u_1$  and  $u_2$  have no common neighbors in  $F - G$ . So  $w'$  lies on a face  $f$  which is different from  $f_i$  for  $i = 0, 1$  and  $2$ . Then  $\{ww', e_1, e_2\}$  is a cyclic 3-edge-cut which separates  $F$  into two components, of which one contains a cycle in  $G$  and the other is  $f$ . This contradicts  $c\lambda(F) = 5$ .

So we assume that  $wu_3 \notin E(f_2)$ . That means that  $d_G(u_3) = 1$ . Let  $u_3u'_3 \in E(G)$ . Let  $P'_2 \subset f_2$  be a path connecting  $\alpha(e_2)$  and  $u_3$  but  $u'_3 \notin P'_2$  and let  $P'_0 \subset f_0$  be a path connecting  $w$  to  $\alpha(e_1)$  but  $u_0 \notin P'_0$ . Then  $C := (\bigcup_{i=0}^2 P'_i) \cup \{u_3w\}$  is a cycle. Hence  $\{u_0w, e_1, e_2, u_3u'_3\}$  is a cyclic 4-edge-cut since it separates  $F$  into two components, of which one contains the cycle  $C$  and the other contains a cycle in  $G$ . This contradicts  $c\lambda(F) = 5$ .

In the following, we assume that  $wu_0 \notin E(f_1)$  and  $wu_3 \notin E(f_2)$ . Hence  $d_G(u_0) = d_G(u_3) = 1$  and hence let  $u_ju'_j \in E(G)$  for  $j = 0, 3$ . Let  $P'_0 \subset f_0$  be the path connecting  $u_0$  to  $\alpha(e_1)$  but  $u'_0 \notin P'_0$ . So  $C := (\bigcup_{i=0}^2 P'_i) \cup \{u_0w, u_3u'_3\}$  is a cycle. Let  $G'$  be the subgraph of  $F$  consisting of  $C$  together with its interior. If  $w$  has a neighbor inside  $C$ , then  $\{u_0u'_0, e_1, e_2, u_3u'_3\}$  forms a cyclic 4-edge-cut of  $F$ . This contradicts  $c\lambda(F) = 5$ . Thus,  $w$  has a neighbor  $w' \notin V(G')$ . Then  $S = \{u_0u'_0, e_1, e_2, u_3u'_3, ww'\}$  is a cyclic 5-edge-cut. Note that  $C$  is not a pentagon since each  $P'_i$  is not an edge by the assumption that any two unsaturated vertices which belong to a common face of  $F$  have no common neighbors in  $F - G$ . So  $S$  is non-trivial since  $G$  is also not a pentagon. However,  $w, u_1, u_3$  are three vertices of degree two on the boundary of  $G'$  which appear consecutively. This contradicts Proposition 2.4. The contradictions imply that  $u_0$  and  $u_3$  have no common neighbors in  $F - G$ .  $\square$

Suppose that  $G$  satisfies the condition (\*). Let  $u$  and  $v$  be a pair of unsaturated vertices in  $G$ . Suppose that  $Q$  is a path on  $\partial G$  connecting  $u$  and  $v$ . This path is called a  $(u, v)$ -path on  $\partial G$ . We define the number  $\ell(Q)$  to be the number of unsaturated vertices of  $G$  contained in  $Q$  minus one. The *unsaturated distance* of  $u$  and  $v$  is the minimum number of  $\ell(Q)$  when  $Q$  runs through all the  $(u, v)$ -paths on  $\partial G$ .

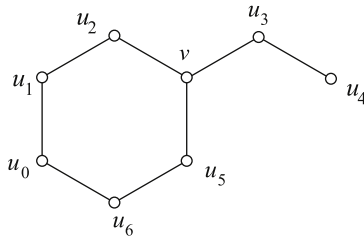
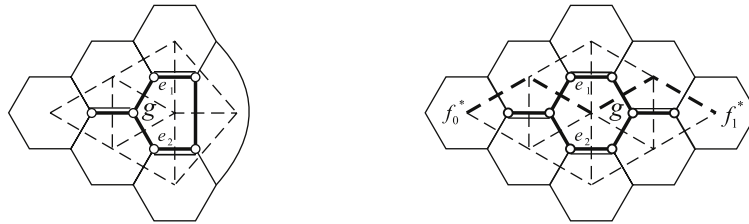


Fig. 5. An example.

Fig. 6.  $F$  has a face  $g$  containing both  $e_1$  and  $e_2$ .

Consider the graph given in Fig. 5. Suppose that it is a subgraph of a fullerene graph satisfying the condition (\*). Then the unsaturated distance of  $u_1$  and  $u_5$  is 2; and that of  $u_0$  and  $u_4$  is 4.

From the proof of Lemma 2.8 we have the following corollary.

**Corollary 2.9.** Suppose that  $G$  is a connected subgraph of  $F$  satisfying the condition (\*) and not isomorphic to a pentagon. If each pair of unsaturated vertices in  $G$  is of unsaturated distance at most 3, then  $G$  is unforcing.

**Proof.** Since any two unsaturated vertices of  $G$  have no common neighbors in  $F - G$ ,  $F - G$  does not contain any vertex of degree 1. By the assumption, every inner face of  $G$  is also a face of  $F$ . Thus,  $G$  is unforcing.  $\square$

Now, we are going to prove Claim 2. The same idea for proving Claim 2 is also used by Došlić in [5].

**Proof of Claim 2.** Let  $G := F - F''$ , where  $F''$  is the final subgraph obtained from  $F'$  by repeatedly deleting the resulting 1-degree vertices and their neighbors. In the following figures, we use thick lines to illustrate the graph  $G$  and broken lines to illustrate the graph  $G^*$ .

Let  $w$  be a 1-degree vertex of  $F'$ . Since  $F$  does not contain a 3-cycle,  $w$  must be adjacent with an end vertex of each  $e_i$  for  $i = 1, 2$ . Recall that  $e_1 = v_1v_2$  and  $e_2 = u_1u_2$ . We may assume that  $wv_1$  and  $wu_1$  are edges of  $F$ . Let  $g_1$  be the face in  $F$  containing  $e_1$  and  $wv_1$  and let  $g_2$  be the face in  $F$  containing  $e_2$  and  $wu_1$ . Since  $F$  is a cubic graph,  $g_1$  and  $g_2$  have at least one common edge.

Suppose that  $g_1 = g_2 = g$ . If  $g$  is a pentagon, then  $G$  is isomorphic to the graph shown on the left in Fig. 6. Clearly,  $\text{diam}(G^*) = 3$ , and by Corollary 2.9  $G$  is unforcing. By Lemma 2.6,  $F'' = F - G$  is 2-connected. Now if  $g$  is a hexagon, then  $G$  is isomorphic to the graph shown on the right in Fig. 6. Then by Corollary 2.9  $G$  is unforcing, and clearly  $\text{diam}(G^*) = 4$ . If  $F''$  is not 2-connected, then  $F''$  has a bridge  $e$  by Lemma 2.6. Assume that  $e = f_0 \cap f_1$  and  $P$  is a shortest path joining  $f_0^*$  and  $f_1^*$ . Note that  $G^*$  has only one pair of vertices  $f_0^*$  and  $f_1^*$  which has distance 4, as shown in Fig. 6. Let  $P$  be the 4-length path shown in thick broken lines in Fig. 6. Together with  $e$ , the edges corresponding to edges of  $P$  can form neither a trivial nor a non-trivial cyclic 5-edge-cut by Proposition 2.4. This contradicts Lemma 2.6.

Now we assume that  $g_1 \neq g_2$ . Let  $e = ww'$  be the edge of  $F'$  incident with  $w$ .

**Case 1:** Suppose that  $e$  is the common edge of  $g_1$  and  $g_2$ . If both  $g_1$  and  $g_2$  are hexagonal, then  $G$  is a tree, as shown in Fig. 7 (left). By Corollary 2.9,  $G$  is unforcing. It is easy to check that  $\text{diam}(G^*) = 3$ . By Lemma 2.6,  $F''$  is 2-connected.

If exactly one of  $g_1$  and  $g_2$  is pentagonal, say  $g_1$ , then  $g_1$  contains a vertex  $w_1$  different from  $v_1, v_2, w$  and  $w'$ . Let  $w_1w_2$  be the edge incident with  $w_1$  in the subgraph  $F \ominus \{e_1, e_2, e\}$ . Then  $G$  is induced by  $V(g_1) \cup \{w_2, u_1, u_2\}$  in  $F$ , as shown in Fig. 7 (middle). By Corollary 2.9,  $G$  is unforcing. It is easy to see that  $\text{diam}(G^*) = 3$ . By Lemma 2.6,  $F''$  is 2-connected.

If both  $g_1$  and  $g_2$  are pentagonal, then  $F \ominus \{e_1, e_2, e, w_1w_2\}$  has one 1-degree vertex  $w_3$ . Let  $w_3w_4$  be the edge forced by  $e$  and  $e_2$  and let  $g$  be the face of  $F$  containing  $w_1w_2$  and  $w_3w_4$ . If  $g$  is pentagonal, then  $G := g \cup g_1 \cup g_2$  and  $\text{diam}(G^*) = 3$ . By Corollary 2.9,  $G$  is unforcing. Hence  $F''$  is 2-connected by Lemma 2.6. If  $g$  is hexagonal, then  $g$  has a 1-degree vertex  $w_5$  of  $F \ominus \{e_1, e_2, e, w_1w_2, w_3w_4\}$ . Let  $w_5w_6$  be the edge in  $F \ominus \{e, e_1, e_2, e, w_1w_2, w_3w_4\}$ . Hence  $G$  consists of  $g \cup g_1 \cup g_2$  together with  $w_5w_6$ , as shown in Fig. 7 (right). It is easy to see that  $\text{diam}(G^*) = 4$  and  $G^*$  has only one pair of vertices  $f_0^*$  and  $f_1^*$  with distance 4. Let  $P$  be a shortest path connecting  $f_0^*$  and  $f_1^*$  illustrated by the thick broken lines. If  $F''$  has a bridge  $e'$ , then  $e' = f_0 \cap f_1$ , where  $f_0$  and  $f_1$  are faces of  $F$  corresponding to vertices  $f_0^*$  and  $f_1^*$ . By Lemma 2.6, together with  $e'$ , the edges corresponding to edges of  $P$  form a cyclic 5-edge-cut  $S$ . Clearly,  $S$  is non-trivial. This contradicts  $g_1 \cap g \in S$  by Proposition 2.4. Hence  $F''$  is 2-connected by Lemma 2.6.



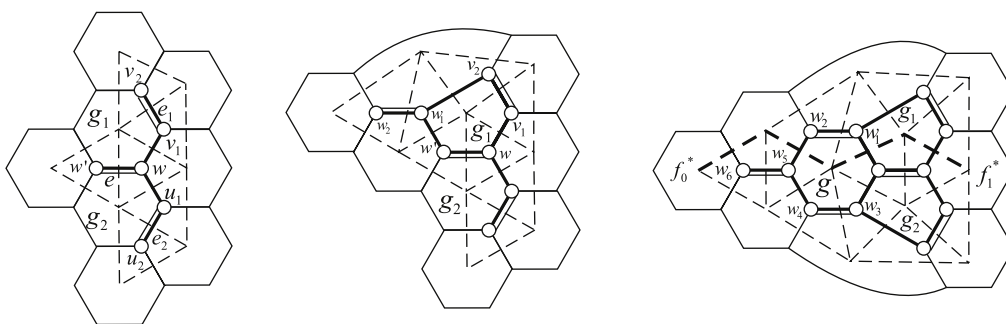


Fig. 7. Illustration for the proof of Case 1.

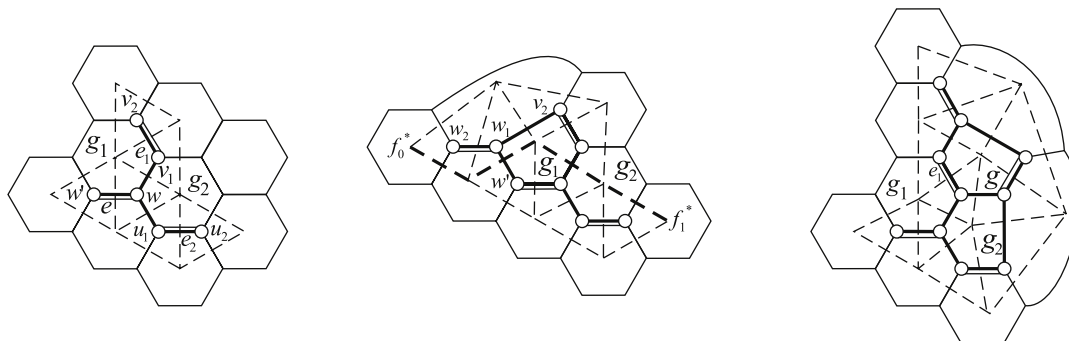


Fig. 8. Illustration for the proof of Subcase 2.1.

**Case 2:** Suppose that  $e$  is not the common edge of  $g_1$  and  $g_2$ . We may assume that  $e$  lies on the boundary of  $g_1$ . If both  $g_1$  and  $g_2$  are hexagonal, then  $G$  induced by  $\{v_1, v_2, u_1, u_2, w, w'\}$  is a tree (see Fig. 8 (left)) and  $\text{diam}(G^*) = 3$ . By Corollary 2.9,  $G$  is unforcing. By Lemma 2.6, we immediately have that  $F'' = F - G$  is 2-connected. So, in the following, we assume that at least one of  $g_1$  and  $g_2$  is pentagonal.

**Subcase 2.1:** Exactly one of  $g_1$  and  $g_2$  is pentagonal. Suppose that  $g_1$  is pentagonal and  $g_2$  is hexagonal, then  $g_1$  contains a 1-degree vertex  $w_1$  in  $F \ominus \{e_1, e_2, e\}$ . Let  $w_1w_2$  be the edge in  $F \ominus \{e_1, e_2, e\}$ . Then  $G$  has only one inner face  $g_1$ , as shown in Fig. 8 (middle). By Corollary 2.9,  $G$  is unforcing. It is easy to check that  $\text{diam}(G^*) = 4$  and  $G^*$  has only one pair of vertices, say  $f_0^*$  and  $f_1^*$ , with distance 4. Let  $P$  be the thick broken lines connecting  $f_0^*$  and  $f_1^*$ . If  $F''$  has a bridge  $e'$ , then  $e' = f_0 \cap f_1$ , where  $f_0$  and  $f_1$  are faces of  $F$  corresponding to  $f_0^*$  and  $f_1^*$ , respectively. By Lemma 2.6, together with  $e'$ , the edges corresponding to edges of  $P$  form a cyclic 5-edge-cut  $S$ . Since  $S$  contains edges in the pentagon  $g_1$ ,  $S$  cannot be non-trivial by Proposition 2.4. It also cannot be trivial since  $g_2$  is a hexagon. So  $F''$  has no bridges. That is,  $F''$  is 2-connected by Lemma 2.6.

Suppose that  $g_2$  is pentagonal and  $g_1$  is hexagonal. Let  $g$  be the face of  $F$  adjacent with  $g_1$  such that  $g_1 \cap g = e_1$ , as shown in Fig. 8 (right). Whether  $g$  is hexagonal or not, we always have that  $G$  is unforcing and  $\text{diam}(G^*) = 3$ . Hence  $F''$  is 2-connected by Lemma 2.6.

**Subcase 2.2:** Both  $g_1$  and  $g_2$  are pentagonal. Let  $w_1 \in g_1$  and  $w_2 \in g_2$  be the 1-degree vertices of  $F \ominus \{e, e_1, e_2\}$ . Let  $w_1w_3$  and  $w_2w_4$  be the two edges in  $F \ominus \{e_1, e_2, e\}$ . Let  $g$  be the face of  $F$  such that  $g \cap g_1 = e_1$ . If  $g$  is a hexagon, then  $G$  consists of  $g_1$  and  $g_2$  together with two edges  $w_1w_3$  and  $w_2w_4$  (see Fig. 9 (left)). By Corollary 2.9,  $G$  is unforcing. It is easy to check that  $\text{diam}(G^*) = 3$ . By Lemma 2.6,  $F'' = F - G$  is 2-connected. If  $g$  is a pentagon, then  $g$  contains a 1-degree vertex  $w_5$  in  $F \ominus \{e_1, e_2, e, w_1w_2, w_3w_4\}$ . Let  $w_7$  be adjacent with  $w_5$  in  $F \ominus \{e_1, e_2, e, w_1w_2, w_3w_4\}$ . Let  $g'$  be the face adjacent with both  $g$  and  $g_1$ . Then  $w_1w_3 \subset g'$ .

If  $g'$  is a pentagon, then  $F \ominus \{e_1, e_2, e, w_1w_3, w_2w_4, w_5w_7\}$  has no 1-degree vertices (see Fig. 9 (middle)). Hence  $G = g \cup g' \cup g_1 \cup g_2$  and  $\text{diam}(G^*) = 3$ . By Corollary 2.9,  $G$  is unforcing. By Lemma 2.6,  $F''$  is 2-connected.

If  $g'$  is a hexagon, then  $F \ominus \{e_1, e_2, e, w_1w_3, w_2w_4, w_5w_7\}$  has a 1-degree vertex  $w_6$  (see Fig. 9 (right)). Let  $w_8$  be adjacent with  $w_6$  in  $F \ominus \{e_1, e_2, e, w_1w_3, w_2w_4, w_5w_7\}$ . Then  $F \ominus \{e_1, e_2, e, w_1w_3, w_2w_4, w_5w_7, w_6w_8\}$  has no 1-degree vertices. So  $G$  consists of  $g_1, g_2, g, g'$  together with the edge  $w_6w_8$  and  $\text{diam}(G^*) = 4$ . By Corollary 2.9,  $G$  is unforcing. Note that  $G^*$  has two pairs of vertices with distance 4. One is  $f_0^*$  and  $g_2^*$ , the vertex of  $G^*$  corresponding to the face  $g_2$ . Another is  $f_0^*$  and  $f_1^*$ . Let  $f_0$  and  $f_1$  be two faces of  $F$  corresponding to  $f_0^*$  and  $f_1^*$ , respectively. Clearly,  $f_0$  cannot be adjacent with  $g_2$  in  $F$ . Suppose that  $F'' = F - G$  is not 2-connected. By Lemma 2.6,  $e' = f_0 \cap f_1$  is a bridge of  $F''$ . Let  $P$  be the shortest path joining  $f_0^*$  and  $f_1^*$ , as shown by broken dashed lines in Fig. 9 (right). Then  $e'$  and the four edges corresponding to edges of  $P$  form a cyclic 5-edge-cut  $S$  of  $F$  by Lemma 2.6. Clearly,  $S$  is non-trivial. By Theorem 2.3,  $S$  separates  $F$  into two subgraphs, each of which contains a pentap. Let  $F_1$  be the subgraph containing a pentap  $H$  which contains the pentagons  $g_1, g_2$  and  $g$  which are adjacent with each other. Since  $g'$  is a hexagon and is adjacent with  $g_1$  and  $g, g_2$  should be the pentagon of  $H$  adjacent with

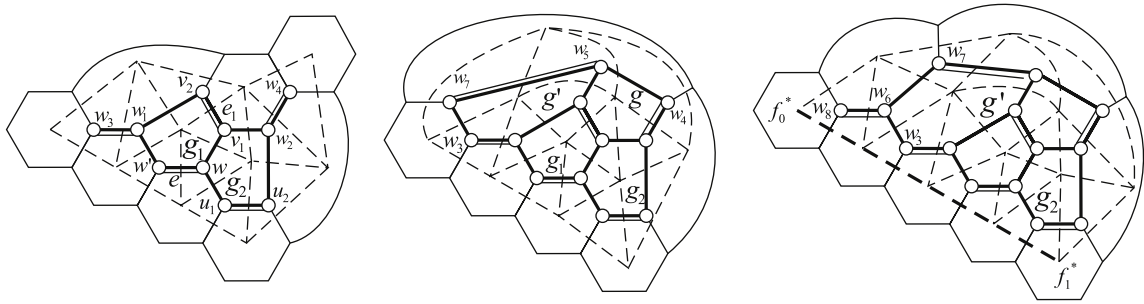


Fig. 9. Illustration for the proof of Subcase 2.2.

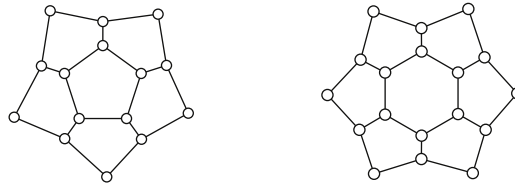


Fig. 10. A pentacap (left) and a hexacap (right).

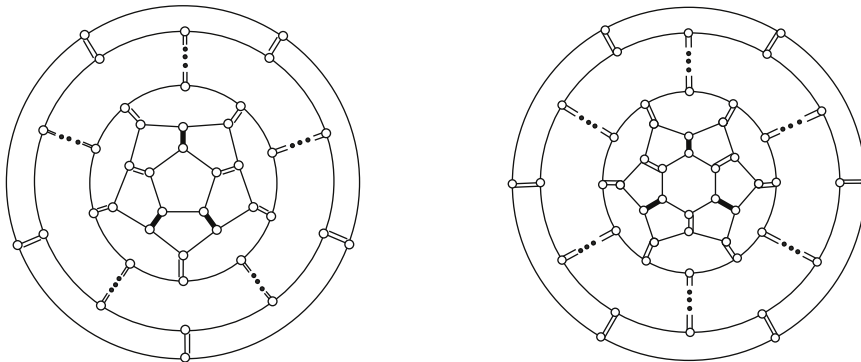


Fig. 11. Fullerene graphs  $F_p^i$  (left) and  $F_H^i$  (right).

all the other five pentagons of  $H$ . That means that all faces adjacent with  $g_2$  are pentagons, and so is  $f_1$ . However,  $S \cap f_1 \neq \emptyset$ , a contradiction to Proposition 2.4. So  $F'' = F - G$  is 2-connected. This completes the proof of Claim 2.  $\square$

So our main result Theorem 1.1 follows directly from Theorem 2.7.

### 3. Sharpness of the bound

In this section, we will construct infinitely many fullerene graphs attaining the lower bound given in Theorem 1.1.

A hexacap  $H$  is a graph consisting of a hexagon together with six adjacent pentagons, as shown in Fig. 10. Let  $G_0$  be a hexacap and let  $G_{i+1} = G_i \cup \left( \bigcup_{j=0}^5 h_j \right)$ , where  $h_j$  is a hexagon such that  $h_j \cap G_i$  is a path of length 2, and  $h_j$  and  $h_{j+1}$  have exactly one common edge ( $j \in \mathbb{Z}_6$ ). We add a hexacap to  $G_i$  along their boundaries by identifying the 2-degree (resp. 3-degree) vertices of  $G_i$  with the 3-degree (resp. 2-degree) vertices of the hexacap. Finally we get a fullerene graph, denoted by  $F_H^0$ . Note that  $F_H^0$  is isomorphic to  $F_{24}$ , which is the unique fullerene graph with 24 vertices [8].

Similarly, let  $K_0$  be a pentacap and let  $K_{i+1} = K_i \cup \left( \bigcup_{j=0}^4 h_j \right)$ , where  $h_j$  is a hexagon such that  $h_j \cap K_i$  is a path of length 2, and  $h_j$  and  $h_{j+1}$  have exactly one common edge ( $j \in \mathbb{Z}_5$ ). Then we add a hexacap to  $K_i$  along their boundaries by identifying the 3-degree (resp. 2-degree) vertices of  $K_i$  with the 2-degree (resp. 3-degree) vertices of the pentacap. Finally we get a fullerene graph, denoted by  $F_p^0$ . Note that  $F_p^0$  is isomorphic to the dodecahedron  $F_{20}$ .

**Theorem 3.1.** Let  $F$  be a fullerene graph isomorphic to  $F_H^i$  or  $F_p^i$  for some  $i \geq 0$ . Then  $f(F) = 3$ .



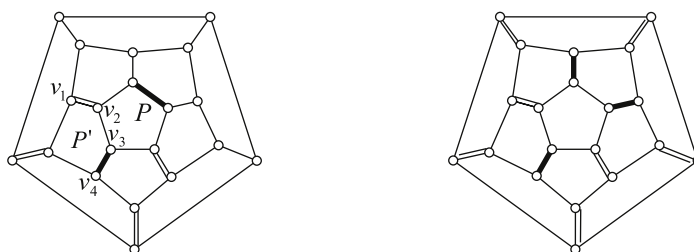


Fig. 12. The dodecahedron  $F_{20}$ .

**Proof.** Let  $F$  be a fullerene graph isomorphic to  $F_H^i$  or  $F_P^i$ . Let  $G_0$  be a pentacap (resp. hexacap) of  $F$ . Then  $F$  can be constructed as mentioned above. Note that there are 5 (resp. 6) edges with exactly one end on the central pentagon (hexagon) of  $G_0$ . Denote the set of these edges by  $S_0$ . Let  $e_1, e_2, e_3$  be three of them, which are shown as the thick edges in Fig. 11, and let  $S = S_0 \setminus \{e_1, e_2, e_3\}$ . If  $F$  has a perfect matching  $M$  such that  $e_1, e_2, e_3 \in M$ , then  $S \subset M$ . Furthermore,  $E(G_j, F - G_j) \subset M$ , where  $j = 0, 1, \dots, i$ . Immediately,  $M = \{e_1, e_2, e_3\} \cup S \cup \left(\bigcup_{j=0}^i E(G_j, F - G_j)\right)$  is uniquely determined by  $\{e_1, e_2, e_3\}$ . So  $f(F) \leq f(F; M) \leq 3$ . By Theorem 2.7, we have  $f(F) = 3$ .  $\square$

By Theorem 2.3, a fullerene graph  $F$  with a nontrivial cyclic 5-edge-cut is isomorphic to  $F_P^i$  for some positive integer  $i$ . So we have the following result.

**Corollary 3.2.** Let  $F$  be a fullerene graph with a nontrivial cyclic 5-edge-cut. Then  $f(F) = 3$ .

#### 4. Remarks and open problems

As we have already shown, there are infinitely many fullerene graphs with minimum forcing number 3. It is interesting to characterize all such fullerene graphs.

**Problem 4.1.** Determine all fullerene graphs for which the minimum forcing number is equal to 3.

We discuss only the lower bound for the forcing number of perfect matchings of fullerene graphs here. The sharp upper bound for the forcing number of perfect matchings of fullerene graphs is also interesting.

**Problem 4.2.** Give a sharp upper bound for the forcing number of perfect matchings of fullerene graphs.

**Proposition 4.3.** Let  $M$  be a perfect matching of the dodecahedron  $F_{20}$ . Then  $f(M, F_{20}) = 3$ .

**Proof.** Let  $M$  be a perfect matching of  $F_{20}$ . Suppose that  $F_{20}$  has a pentagon  $P'$  containing two edges in  $M$ . Let  $v_1 v_2, v_3 v_4 \in P' \cap M$  and assume that  $v_2$  is adjacent with  $v_3$ . Let  $P$  be the pentagon of  $F_{20}$  such that  $v_2 v_3 = P \cap P'$ . Then  $|P \cap M| \leq 1$  (see Fig. 12 (left)). Consequently,  $F_{20}$  has a pentagon, say  $P$ , containing at most one edge in  $M$ .

If  $|M \cap P| = 1$ , then the two thick edges determine a matching  $M'$ , as shown in Fig. 12 (left). Then  $F_{20} \ominus M'$  consists of two adjacent pentagons. It is easy to see that  $F_{20} \ominus M'$  has only two perfect matchings with the forcing number 1. So  $f(F_{20}, M) = 3$ . Suppose that  $|M \cap P| = 0$ . Then the three thick edges determine the unique perfect matching of  $F_{20}$ , as shown in Fig. 12 (right). So  $f(M, F_{20}) = 3$ .  $\square$

By Proposition 4.3, we propose the following open problem.

**Problem 4.4.** Determine all fullerene graphs of which all perfect matchings have the same forcing number.

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